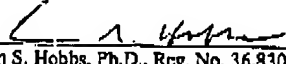


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Ann S. Hobbs, Ph.D., Reg. No. 36,830

DATE: March 30, 2007
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TOTAL NUMBER OF PAGES 7 (excluding cover page)

U.S. Serial No. 10/501,729
Filed: December 7, 2004
Applicant: Gunter LABAN et al.

AMENDMENT UNDER 37 CFR 1.312

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PTO Due Date: n/a
DATE FILED:

Atty. Docket No: 42804-205435

Applicant: Gunter LABAN et al.

Title: METHOD FOR PRODUCING THIOCTIC ACID

Application No: 10/501,729

Patent No.:

Filing Date: December 7, 2004

Issue Date:

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

U.S. PTO FEES ENCLOSED

- ☐ Transmittal form
- ☒ **FEE TRANSMITTAL FORM**
- ☒ **AMENDMENT UNDER 37 CFR 1.312**
- ☐ Form SB/08/A
- ☐ References
- ☐ Petition for Extension of time (1 -month)
- ☐ Specification
- ☐ Drawings: _____ sheets of Figures _____
- ☐ Amendment
- ☐ Invention Declaration (executed, _____ pages)
- ☐ Assignment with form PTO 1595
- ☐ Priority Document-Cert. Copy of Appln.#: _____ ; Country _____
- ☐ Date Filed: _____
- ☐ Request for Continued Examination (RCE) under 37 CFR 1.114
- ☐ Notice to File Missing Parts (return copy)
- ☐ Application Data Sheet
- ☐ International Search Report
- ☐ IDS w/PTO Form-1449 w/references)
- ☐ Request for Oral Hearing
- ☐ Preliminary Amendment
- ☐ Petition/Request for Extension of Time (one mo. ext.)
- ☐ Notice of Appeal
- ☐ Appeal Brief (in triplicate)
- ☐ Reply Brief (in triplicate)
- ☐ Confirmation of Hearing Petition
- ☐ Issue Fee Transmittal
- ☐ Petition Fee Under 37 CFR 1.17(f), (g) & (h) Transmittal
- ☐ Processing Fee Under 37 CFR 1.17(l) Transmittal
- ☐ Power of Attorney
- ☐ Response to Notice to File Missing Parts
- ☐ Response to Notice to File Missing Requirements
- ☐ Response to Restriction Requirement
- ☐ Petition to Revive
- ☐ Sequence Listing - Computer Disk Enclosed? ☐ Yes ☐ No
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- _____ Filing Fee
- _____ Non-English specification
- _____ Multiple Dependent claims
- _____ Recordation/Indexing Fee
- _____ Claims over 20
- _____ Extension Fee
- _____ Information Disclosure Statement
- _____ Brief on Appeal Fee
- _____ Oral Hearing Request Fee
- _____ Petition Fee
- _____ Issue Fee
- _____ Publication Fee
- _____ Maintenance Fee

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PTO/SB/17 (12-04v2)

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<p>Effective on 12/03/2004, Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4918).</p> <p>FEE TRANSMITTAL For FY 2006</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>Complete if Known</p> <p>Application Number 10/501,729</p> <p>Filing Date December 7, 2004</p> <p>First Named Inventor Gunter LABAN</p> <p>Examiner Name D. Lambkin</p> <p>Art Unit 1626</p> <p>Attorney Docket No. 42804-205435</p>	
<p>TOTAL AMOUNT OF PAYMENT (\$) 0</p>			

METHOD OF PAYMENT (check all that apply)

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FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

$HP - 20 = \text{ } \times 50 = \text{ }$

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

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3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

$\text{ } - 100 = \text{ } / 50 \text{ (round up to a whole number)} \times \text{ } = \text{ }$

4. OTHER FEE(S) **Fees Paid (\$)**

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	36,830 Telephone (202) 344-4000
Name (Print/Type)	Ann S. Hobbs, Ph.D.		Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Gunter LABAN et al.

Art Unit: 1626

Application No.: 10/501,729

Examiner: Lambkin

Filing Date: December 7, 2004

Atty. Docket No. 42804-205435

For: METHOD FOR PRODUCING
THIOCTIC ACID

Customer No.

26694

PATENT TRADEMARK OFFICE

AMENDMENT UNDER 37 CFR 1.312

Commissioner for Patents
P. O. Box 1450
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Sir:

Prior to issue of the above referenced patent, amendment is requested as noted below.

Amendments to the claims begin on page 2.

Remarks begin on page 4.

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